



Guidelines on Standard XML Reporting for Beneficial Ownership Database

January 2021

Table of Contents

- Document Information 2**
- Document Review History 2**
- 1. Definitions 3**
- 2. Acronyms and Abbreviations 4**
- 3. Scope and Application of this Guideline 4**
- 4. Introduction..... 5**
- 5. Conventions used in this Document..... 6**
- 6. Description of XML Nodes 7**
 - 6.1. Node “report” 7
 - 6.2. Node Reporting Person..... 9
 - 6.3. Node Activity 11
- 7. Description of Common Types Used in the Schema..... 12**
 - 7.1. Type t_entity..... 12
 - 7.2. Type t_person 15
 - 7.3. Type t_address 18
 - 7.4. Type t_phone 19
 - 7.5. Type report_party_type 20
- 8. Lookup Values 21**
 - 8.1. Submission type – goAML Enumeration 21
 - 8.2. Report Code – goAML Enumeration 21
 - 8.3. Contact Type..... 21
 - 8.4. Communication Type 22
 - 8.5. Entity Legal Form Type 22
 - 8.6. Currencies - Enumeration 22
 - 8.7. Country Codes - Enumeration..... 22
 - 8.8. entity_person_role_type 31

Document Information

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January 27, 2021	V1.0	Document Creation
February 12, 2021	V2.0	Amendment to node for Type t_person under Paragraph 7.2

1. Definitions

BO Act means the Beneficial Ownership Act 2020

BO Regulations means the Beneficial Ownership Regulations 2020

BO database means the Seychelles Beneficial Ownership Database maintained by the FIU as per section 13 (1) of the BO Act

Financial Intelligence Unit means the unit established under section 10 of the Anti-Money Laundering and Countering the Financing of Terrorism Act 2020

goAML means the integrated software solution developed by the United Nations Office on Drugs and Crime (UNODC) and implemented by the FIU as its preferred IT platform for registration, reporting (including beneficial ownership information population) and secure communications with the FIU

Resident Agent means –

- (a) a secretary appointed under section 179 of the Companies Act;
- (b) the person responsible under section 12 of the Registration of Associations Act;
- (c) a registered agent under section 164 of the International Business Companies Act;
- (d) a registered agent under section 28 of the Foundations Act;
- (e) a resident trustee of an international trust under section 4 of the International Trusts Act;
- (f) a general partner under section 4 of the Limited Partnership Act; or
- (g) a partner of a partnership under the Civil Code of Seychelles Act

2. Acronyms and Abbreviations

BO	Beneficial Ownership
BO Act	Beneficial Ownership Act 2020
BO Database	Seychelles Beneficial Ownership Database
FIU	Financial Intelligence Unit
goAML	goAML Application
UNODC	United Nations Office on Drugs and Crime
XML	Extensible Mark-up Language

3. Scope and Application of this Guideline

- 3.1. These guidelines are issued by the Financial Intelligence Unit (“FIU”), pursuant to section 13 (3) of the Beneficial Ownership Act 2020 (“BO Act”), with the aim of providing the resident agents the requirements and conditions to create compatible extensible mark-up language (“XML”) files to facilitate batch upload of beneficial ownership (“BO”) information on the goAML web application (“goAML”), for the purposes of the Seychelles Beneficial Ownership Database (“BO Database”).

- 3.2. These guidelines apply to all resident agents as defined under Section 3 of the Beneficial Ownership Act 2020 (“BO Act”) and further specified in the definitions section of this guideline.


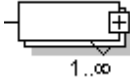

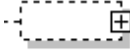

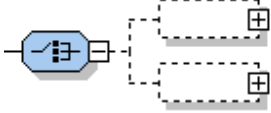

- 3.3. The requirements and conditions provided in these guidelines will be subjected to ongoing reviews and may be updated to reflect any new developments that occur in the BO Act, BO Regulations 2020 or changes in the goAML Web Application.

4. Introduction

- 4.1. The purpose of this specifications document is to provide Resident Agents with the requirements and conditions for creating compatible XML files using the provided XML- Schema for the population of beneficial ownership (“BO”) information reported by legal persons and legal arrangements on the BO Database maintained by the Financial Intelligence Unit (“FIU”), in accordance with Section 13 of the BO Act.
- 4.2. A report file contains the following information which can be represented in the goAML Client, the application hosting the BO database, after uploading and verifying the XML file.
- Basic information about the report;
 - Reporting Person;
 - Entity/Entities Reporting; and
 - Beneficial Owner(s) of entity or Entities with their corresponding Beneficial Ownership(s).
- 4.3. An XML report is linked to the Resident Agent submitting it, but it may contain multiple entities on different legal persons and legal arrangements.
- 4.4. This document will provide a reference to the schema, nodes and types as well as the lookup tables for enumeration values (e.g., Country Codes) as developed by the United Nations Office on Drugs and Crime (“UNODC”) and customized for the BO Database.

5. Conventions used in this Document

The following conventions are used in this document:

	Required field
	Required, 1 to N values
	Optional field
	Optional sub node
	Required sub node
	Optional, but one of the two nodes should be provided
Integer	A 32-bit value
Date time	A date and time value in the following format: YYYY-MM-DDTHH:MM:SS
	Sequence to sub nodes

6. Description of XML Nodes

6.1. Node "report"

Basic information about Resident Agent, reporting date and type of report. It can contain multiple entity beneficial ownership report.

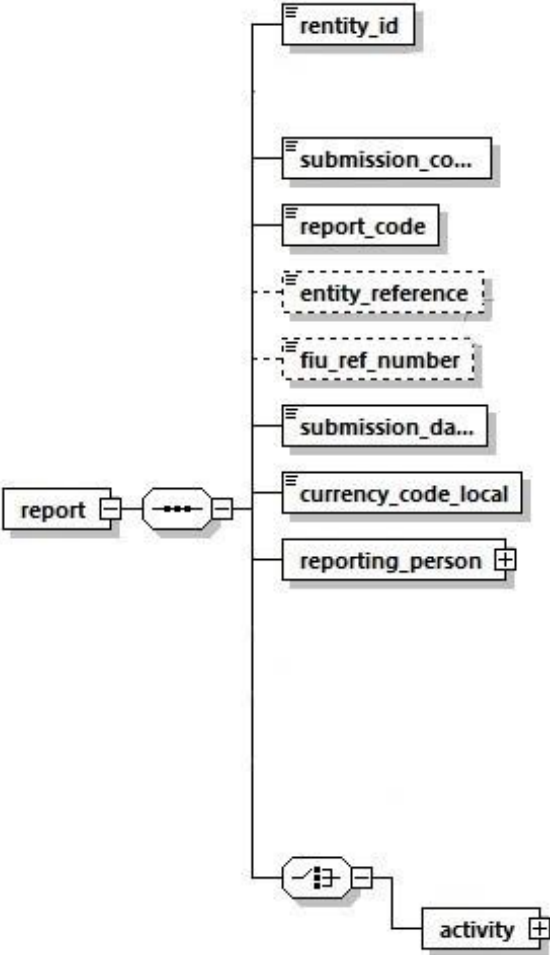


Figure 1: Overview node "report"

Name	Description	Length	Req.	Example
rentity_id	Reporting Entity ID provided upon registration	Integer >= 1	Y	1237
submission_code	Type of submission	Enumeration	Y	E - Electronic
report_code	Type of transaction	Enumeration	Y	BOREP See 5.2 Report Code
entity_reference	Optional reference to the report, used by reporting entity	255	N	BO Report 395
fiu_ref_number	Optional ref. number to be used as communication channel between the FIU and the Reporting Entity	255	N	Reference to be used in the response if requested for more info regarding a report
submission_date	Submission date and time	Datetime	Y	2006-03-25T11:55:00
currency_code_local	Local Currency code	Type "Currency-type"	Y	SCR
reporting_person	Full details of the reporting person	Type "reporting person"	Y	Details of the person that was registered to access the portal and do the reporting. See 3.2 Node Reporting Person
Activity	Involved subjects and items list linked directly to report.		Y	See 3.3 Node Activity

Table 1: Details node "report"

6.2. Node Reporting Person

The structure of this type is similar to that of type *t_person*, but dedicated to the reporting person details i.e. the resident agent.

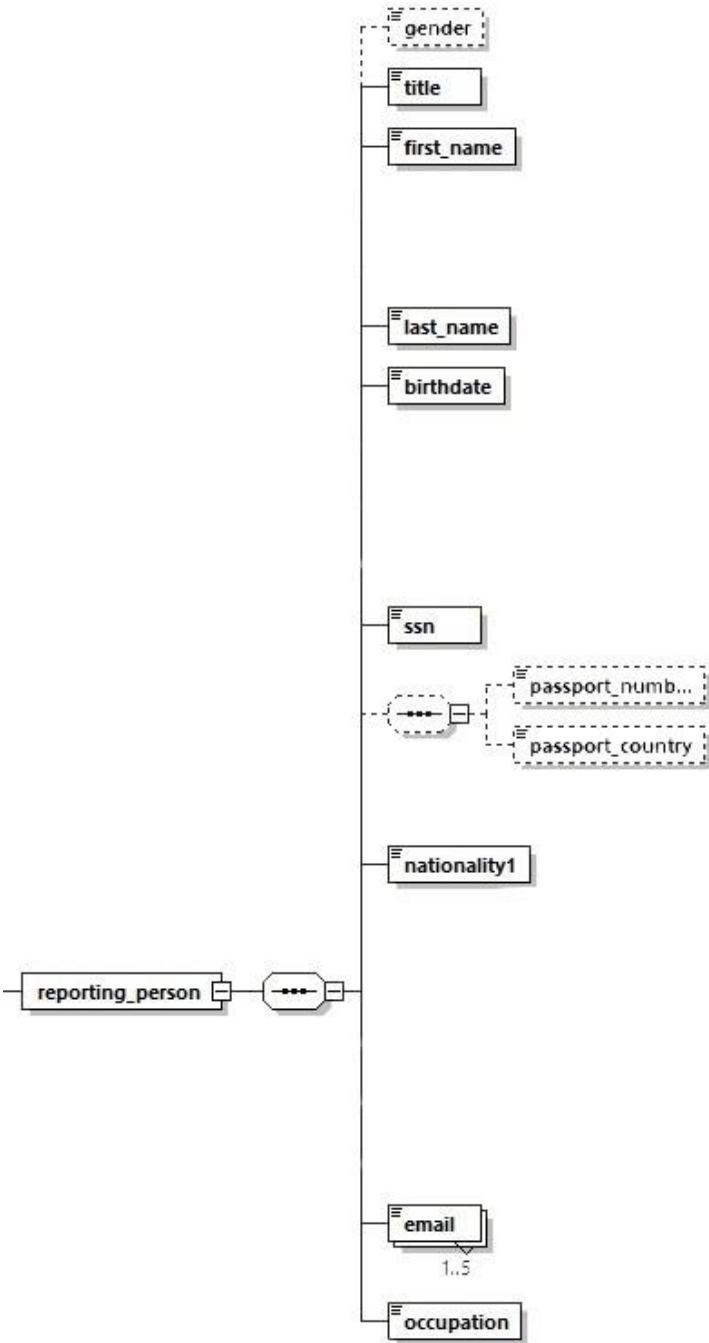


Figure 2: Overview Node Reporting Person

Name	Description	Length	Req.	Example
Gender	Gender	Enumeration	N	M or F
Title	Title	30	Y	Dr.
first_name	First name	100	Y	Elyas
last_name	Last name	100	Y	Machera
birthdate	Birth date	DateTime	Y	1953-01-25T00:00:00
SSN	National Identity Number	25	Y	National identification number from government-issued document (NIN)
passport_number	No. of passport	25	N	Passport number to be used as unification rule
passport_country	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule
Nationality1	Country of Nationality (1)	Enumeration	Y	See Country Codes
Email	Email address	255	Y	<u>test@mail.com</u>
Occupation	Occupation	255	Y	Financial Analyst

Table 2: Details node "Reporting Person"

6.3. Node Activity

The BO information is generated as an activity report. The report party(ies) relates to the legal person(s) or legal arrangement(s) on which BO data is being populated.



Figure 3: Overview node activity

Name	Description	Length	Req	Example
report_parties	Represents a collection of entities with its details		Y	
report_party	Represents a single entity with its details. At least one party should be included.	Type report_party_type	Y	See 4.5 Node report_party_type

Table 3: Details node transaction

7. Description of Common Types Used in the Schema

7.1. Type t_entity

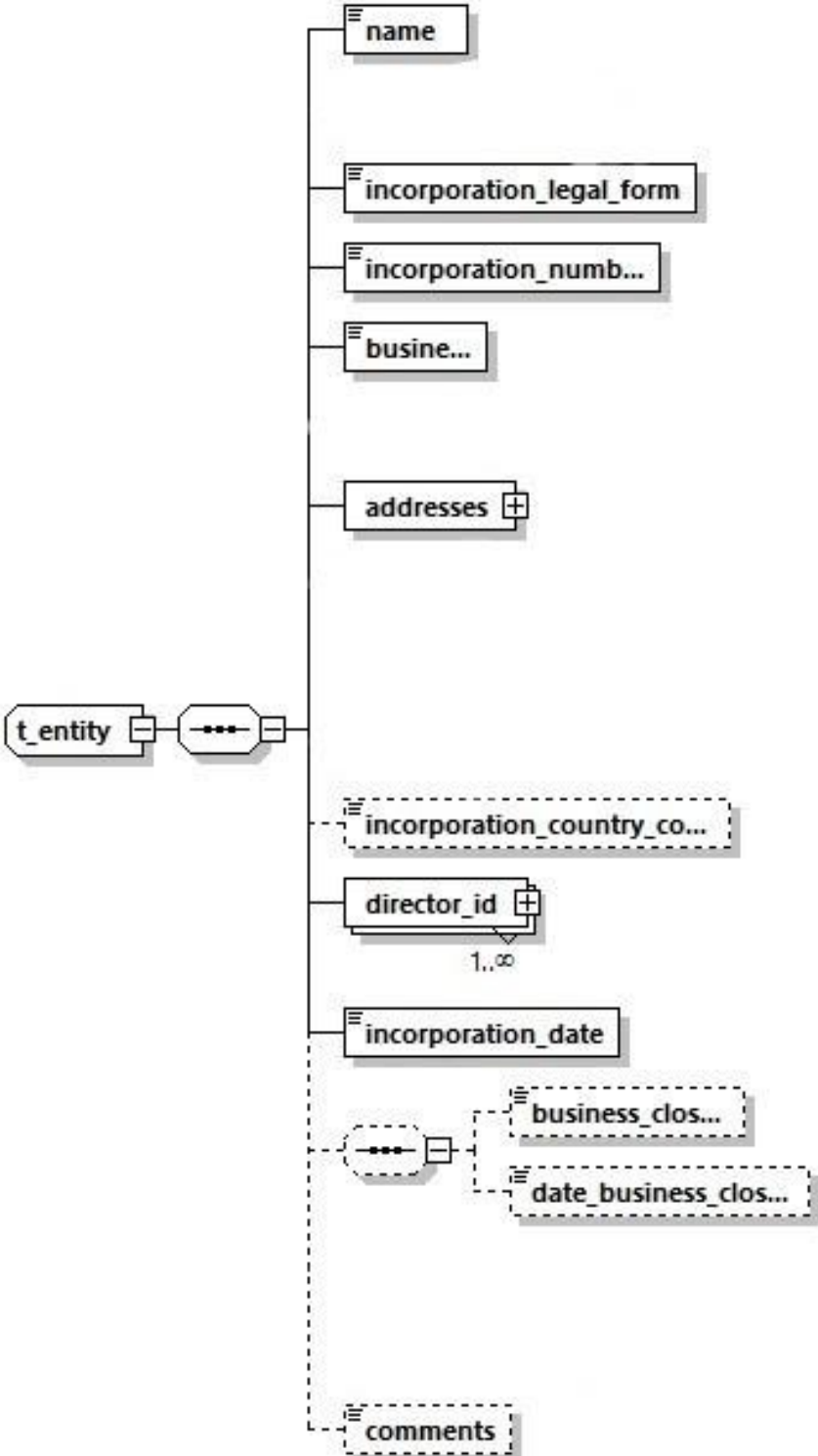


Figure 4: Overview type t_entity

Name	Description	Length	Req.	Example
Name	Full Name of Legal Entity or Legal Arrangement	255	Y	DoeComp Limited
Incorporation_legal_form	The legal form of the entity	Type "legal_form_type"	Y	See 5.5. Entity Legal Form Type
incorporation_number	The Incorporation or registration number of the legal person or legal arrangement as per the Certificate of Incorporation/ Registration	50	Y	198765
Business	What the legal person or legal arrangement has been registered / incorporated as	255	Y	IBC, Foundation, Trust, etc.
Addresses	A Holder node for a 1... many Addresses		Y	
Address	One occurrence of address node	Type "t_address"	Y	See Type t_address
incorporation_country_code	Country	Enumeration	N	See Country Codes
director_id	Beneficial Ownership	type t_person	Y	See Type t_person

incorporation_date	Date of Incorporation / registration	Date	Y	1953-01-25T00:00:00
business_closed	Boolean to indicate if the company is closed down	Boolean	N	
date_business_closed	If entity is closed then specify close date	Date	N	1953-01-25T00:00:00
comments	Entity Comments Generic comments field	4000	N	

Table 4: Details type t_entity

7.2. Type t_person

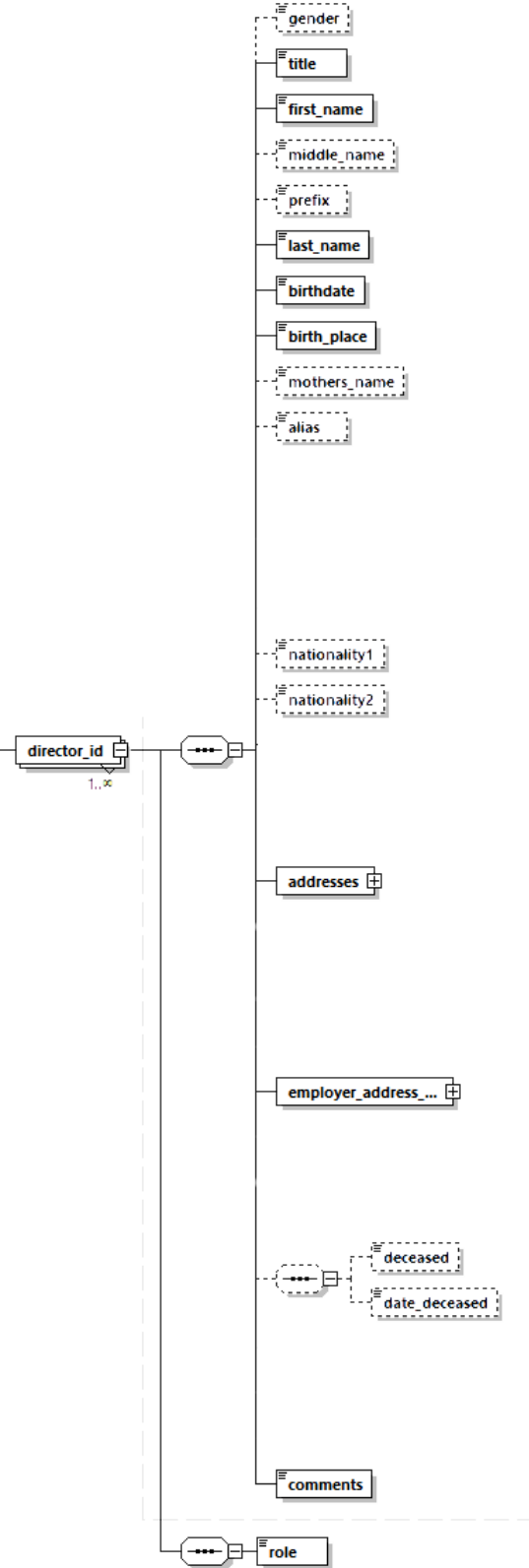


Figure 5: Overview type `t_person`

Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	M or F
title	Title	30	Y	Dr.
first_name	First name	100	Y	Elyas
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Machera
birthdate	Date of Birth	DateTime	Y	1953-01-25T00:00:00
Birth_place	<i>Date Become Beneficial Owner</i>	255	Y	1953-01-25T00:00:00
mothers_name	<i>Date ceased to be a Beneficial Owner</i>	100	N	1953-01-25T00:00:00
alias	Alias, Known As, ..etc	100	N	
Addresses	A Holder node for a 1..many Addresses		Y	
Address	Service Address	Type t_address	Y	See Type t_address
Nationality1	Country of Nationality (1)	Enumeration	N	See Country Codes
Nationality2	Country of Nationality (2)	Enumeration	N	See Country Codes
employer_address_id	<i>Residential Address</i>	type t_address	Y	See Type t_address
deceased	A Boolean to indicated if person has passed away	Boolean	N	1953-01-25T00:00:00

deceased_date	If deceased, then to insert date deceased	Date	N	1953-01-25T00:00:00
comments	<i>Nature or Particulars of interest held in the entity.</i>	4000	Y	
role	Role type of the Beneficial Owner	25	Y	See 5.8 entity_person_role_type

Table 5: Details type t_person

7.3. Type t_address

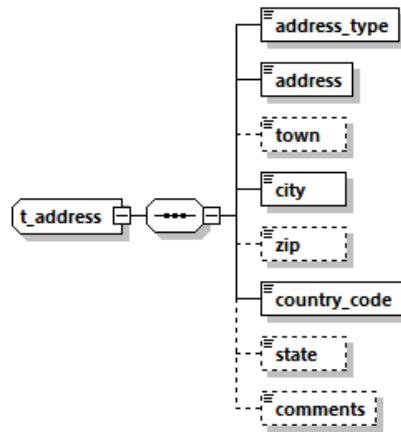


Figure 6: Overview type t_address

Name	Description	Length	Req.	Example
Address_type	The contact type of the address	Enumeration	Y	See Contact Type
Address	Street name and house number	100	Y	-
Town	Address line (2)	255	N	
City	City	255	Y	-
Zip	Zip Code	10	N	A-1220
country_code	Country	Enumeration	Y	See Country Codes
State	State	255	N	
comments	Generic comments	4000	N	

Table 6: Details type t_address

7.4. Type t_phone

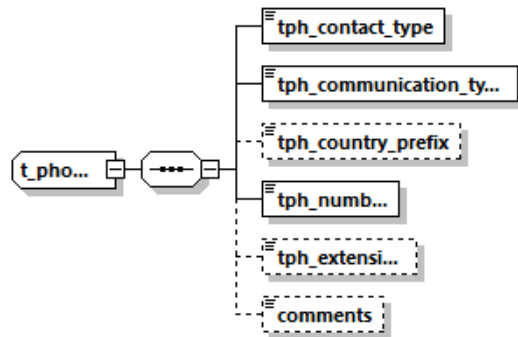


Figure 7: Overview type t_phone

Name	Description	Length	Req.	Example
tph_contact_type	The contact type of the Phone	Enumeration	Y	See Contact Type
tph_communication_type	The communication type of the Phone	Enumeration	Y	Communication Type
tph_country_prefix	Country phone code	4	N	00248
tph_number	Phone number	50	Y	6655778
tph_extension	Phone's extension	10	N	7789
comments	Generic comments	4000	N	

Table 7: Details type t_phone

7.5. Type report_party_type

The report party(ies) relates to the legal person(s) or legal arrangement(s) on which BO data is being populated.

The subject being reported must be selected as an Entity.

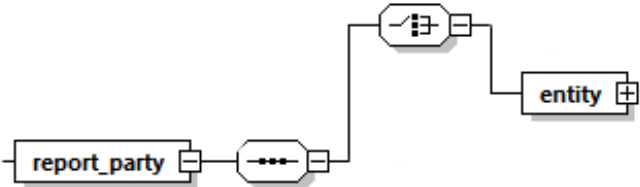


Figure 8: Overview type report_party_type

Name	Description	Length	Req.	Example
entity	Represents the legal person or legal arrangement on which BO information is being populated	t_entity type	Y	DoeComp Limited

Table 8: Type report_party_type

8. Lookup Values

All schema lookups are defined as enumerations. The goAML application has predefined lookup codes, and updated automatically in the XML Schema.

8.1. Submission type – goAML Enumeration

Value	Description
E	Electronically

Table 9: Submission Type

8.2. Report Code – goAML Enumeration

Value	Description
BOREP	Beneficial Ownership Reporting

Table 10: Report Code

8.3. Contact Type

Value	Description
PAT01	Residential
PAT02	Registered Office
PAT03	Business
PAT04	Service

Table 11: Contact Type

8.4. Communication Type

Value	Description
L	Landline
M	Mobile
F	Fax

Table 12: Communication Type

8.5. Entity Legal Form Type

Value	Description
ASS	Association
PBE	Public Enterprise
PTP	Partnership
PVT	Private Entity
SPP	Sole Proprietorship

Table 13: Legal Form Type

8.6. Currencies - Enumeration

World Currencies (and their abbreviations) listed by ISO 4217

Value	Description
SCR	Seychelles Rupee

Table 14: Currency Codes

8.7. Country Codes - Enumeration

This list states the country names (official short names in English) in alphabetical order as given in ISO 3166-1 and the corresponding ISO 3166-1-alpha-2 code elements.

Value	Description
AD	ANDORRA
AE	UNITED ARAB EMIRATES
AF	AFGHANISTAN
AG	ANTIGUA AND BARBUDA
AI	ANGUILLA
AL	ALBANIA
AM	ARMENIA
AN	NETHERLANDS ANTILLES
AO	ANGOLA
AQ	ANTARCTICA
AR	ARGENTINA
AS	AMERICAN SAMOA
AT	AUSTRIA
AU	AUSTRALIA
AW	ARUBA
AX	ÅLAND ISLANDS
AZ	AZERBAIJAN
BA	BOSNIA AND HERZEGOVINA
BB	BARBADOS
BD	BANGLADESH
BE	BELGIUM
BF	BURKINA FASO
BG	BULGARIA
BH	BAHRAIN
BI	BURUNDI
BJ	BENIN
BM	BERMUDA
BN	BRUNEI DARUSSALAM
BO	BOLIVIA

BR	BRAZIL
BS	BAHAMAS
BT	BHUTAN
BU	BURMA
BV	BOUVET ISLAND
BW	BOTSWANA
BY	BELARUS
BZ	BELIZE
CA	CANADA
CC	COCOS (KEELING) ISLANDS
CD	CONGO, THE DEMOCRATIC REPUBLIC OF THE
CF	CENTRAL AFRICAN REPUBLIC
CG	CONGO
CH	SWITZERLAND
CI	COTE D'IVOIRE
CK	COOK ISLANDS
CL	CHILE
CM	CAMEROON
CN	CHINA
CO	COLOMBIA
CR	COSTA RICA
CS	SERBIA AND MONTENEGRO
CU	CUBA
CV	CAPE VERDE
CX	CHRISTMAS ISLAND
CY	CYPRUS
CZ	CZECH REPUBLIC
DE	GERMANY
DJ	DJIBOUTI
DK	DENMARK

DM	DOMINICA
DO	DOMINICAN REPUBLIC
DZ	ALGERIA
EC	ECUADOR
EE	ESTONIA
EG	EGYPT
EH	WESTERN SAHARA
ER	ERITREA
ES	SPAIN
ET	ETHIOPIA
FI	FINLAND
FJ	FIJI
FK	FALKLAND ISLANDS (MALVINAS)
FM	MICRONESIA, FEDERATED STATES OF
FO	FAROE ISLANDS
FR	FRANCE
GA	GABON
GB	UNITED KINGDOM
GD	GRENADA
GE	GEORGIA
GF	FRENCH GUIANA
GG	GUERNSEY
GH	GHANA
GI	GIBRALTAR
GL	GREENLAND
GM	GAMBIA
GN	GUINEA
GP	GUADELOUPE
GQ	EQUATORIAL GUINEA
GR	GREECE

GS	SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS
GT	GUATEMALA
GU	GUAM
GW	GUINEA-BISSAU
GY	GUYANA
HK	HONG KONG
HM	HEARD ISLAND AND MCDONALD ISLANDS
HN	HONDURAS
HR	CROATIA
HT	HAITI
HU	HUNGARY
ID	INDONESIA
IE	IRELAND
IL	ISRAEL
IM	ISLE OF MAN
IN	INDIA
IO	BRITISH INDIAN OCEAN TERRITORY
IQ	IRAQ
IR	IRAN, ISLAMIC REPUBLIC OF
IS	ICELAND
IT	ITALY
JE	JERSEY
JM	JAMAICA
JO	JORDAN
JP	JAPAN
KE	KENYA
KG	KYRGYZSTAN
KH	CAMBODIA
KI	KIRIBATI
KM	COMOROS

KN	SAINT KITTS AND NEVIS
KP	KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF
KR	KOREA, REPUBLIC OF
KS	KOSOVO
KW	KUWAIT
KY	CAYMAN ISLANDS
KZ	KAZAKHSTAN
LA	LAO PEOPLE'S DEMOCRATIC REPUBLIC
LB	LEBANON
LC	SAINT LUCIA
LI	LIECHTENSTEIN
LK	SRI LANKA
LR	LIBERIA
LS	LESOTHO
LT	LITHUANIA
LU	LUXEMBOURG
LV	LATVIA
LY	LIBYAN ARAB JAMAHIRIYA
MA	MOROCCO
MC	MONACO
MD	MOLDOVA, REPUBLIC OF
ME	MONTENEGRO
MG	MADAGASCAR
MH	MARSHALL ISLANDS
MK	MACEDONIA
ML	MALI
MM	MYANMAR
MN	MONGOLIA
MO	MACAO
MP	NORTHERN MARIANA ISLANDS

MQ	MARTINIQUE
MR	MAURITANIA
MS	MONTserrat
MT	MALTA
MU	MAURITIUS
MV	MALDIVES
MW	MALAWI
MX	MEXICO
MY	MALAYSIA
MZ	MOZAMBIQUE
NA	NAMIBIA
NC	NEW CALEDONIA
NE	NIGER
NF	NORFOLK ISLAND
NG	NIGERIA
NI	NICARAGUA
NL	NETHERLANDS
NO	NORWAY
NP	NEPAL
NR	NAURU
NU	NIUE
NZ	NEW ZEALAND
OM	OMAN
PA	PANAMA
PE	PERU
PF	FRENCH POLYNESIA
PG	PAPUA NEW GUINEA
PH	PHILIPPINES
PK	PAKISTAN
PL	POLAND

PM	SAINT PIERRE AND MIQUELON
PN	PITCAIRN
PR	PUERTO RICO
PS	PALESTINIAN TERRITORY, OCCUPIED
PT	PORTUGAL
PW	PALAU
PY	PARAGUAY
QA	QATAR
RE	REUNION
RO	ROMANIA
RS	SERBIA
RU	RUSSIAN FEDERATION
RW	RWANDA
SA	SAUDI ARABIA
SB	SOLOMON ISLANDS
SC	SEYCHELLES
SD	SUDAN
SE	SWEDEN
SG	SINGAPORE
SH	SAINT HELENA
SI	SLOVENIA
SJ	SVALBARD AND JAN MAYEN
SK	SLOVAKIA
SL	SIERRA LEONE
SM	SAN MARINO
SN	SENEGAL
SO	SOMALIA
SR	SURINAME
ST	SAO TOME AND PRINCIPE
SV	EL SALVADOR

SY	SYRIAN ARAB REPUBLIC
SZ	SWAZILAND
TC	TURKS AND CAICOS ISLANDS
TD	CHAD
TF	FRENCH SOUTHERN TERRITORIES
TG	TOGO
TH	THAILAND
TJ	TAJIKISTAN
TK	TOKELAU
TL	TIMOR-LESTE
TM	TURKMENISTAN
TN	TUNISIA
TO	TONGA
TP	EAST TIMOR
TR	TURKEY
TT	TRINIDAD AND TOBAGO
TV	TUVALU
TW	TAIWAN, PROVINCE OF CHINA
TZ	TANZANIA, UNITED REPUBLIC OF
UA	UKRAINE
UG	UGANDA
UM	UNITED STATES MINOR OUTLYING ISLANDS
US	UNITED STATES
UY	URUGUAY
UZ	UZBEKISTAN
VA	HOLY SEE (VATICAN CITY STATE)
VC	SAINT VINCENT AND THE GRENADINES
VE	VENEZUELA
VG	VIRGIN ISLANDS, BRITISH
VI	VIRGIN ISLANDS, U.S.

VN	VIET NAM
VU	VANUATU
WF	WALLIS AND FUTUNA
WS	SAMOA
YE	YEMEN
YT	MAYOTTE
YU	YUGOSLAVIA
ZA	SOUTH AFRICA
ZM	ZAMBIA
ZR	ZAIRE
ZW	ZIMBABWE

Table 15: Country Codes

8.8. entity_person_role_type

Value	Description
EPR28	Beneficial Ownership
EPR29	Nominator
EPR30	Nominee

Table 16: Beneficial Ownership Type